

CONFIDENTIAL

FL 88774 7467

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931.388	08/16/2001	Gary J. Sullivan	MS1-751US

CONFIRMATION NO. 1590

FORMALITIES LETTER



OC000000006794463

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1886.
 - \$846 for 47 total claims over 20.
 - \$1040 for 13 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2726.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DATE	DESCRIPTION	AMOUNT	BALANCE
10/1/90	CASH ON HAND	100.00	100.00
10/2/90	PAYROLL	50.00	50.00
10/3/90	RENT	25.00	25.00
10/4/90	UTILITIES	10.00	15.00
10/5/90	SALES	75.00	90.00
10/6/90	PAYROLL	50.00	40.00
10/7/90	RENT	25.00	15.00
10/8/90	UTILITIES	10.00	5.00
10/9/90	SALES	85.00	90.00
10/10/90	PAYROLL	50.00	40.00
10/11/90	RENT	25.00	15.00
10/12/90	UTILITIES	10.00	5.00
10/13/90	SALES	95.00	100.00
10/14/90	PAYROLL	50.00	50.00
10/15/90	RENT	25.00	25.00
10/16/90	UTILITIES	10.00	15.00
10/17/90	SALES	105.00	120.00
10/18/90	PAYROLL	50.00	70.00
10/19/90	RENT	25.00	45.00
10/20/90	UTILITIES	10.00	35.00
10/21/90	SALES	115.00	150.00
10/22/90	PAYROLL	50.00	100.00
10/23/90	RENT	25.00	75.00
10/24/90	UTILITIES	10.00	65.00
10/25/90	SALES	125.00	190.00
10/26/90	PAYROLL	50.00	140.00
10/27/90	RENT	25.00	115.00
10/28/90	UTILITIES	10.00	105.00
10/29/90	SALES	135.00	240.00
10/30/90	PAYROLL	50.00	190.00
10/31/90	RENT	25.00	165.00
11/1/90	UTILITIES	10.00	155.00
11/2/90	SALES	145.00	300.00
11/3/90	PAYROLL	50.00	250.00
11/4/90	RENT	25.00	225.00
11/5/90	UTILITIES	10.00	215.00
11/6/90	SALES	155.00	370.00
11/7/90	PAYROLL	50.00	320.00
11/8/90	RENT	25.00	295.00
11/9/90	UTILITIES	10.00	285.00
11/10/90	SALES	165.00	450.00
11/11/90	PAYROLL	50.00	400.00
11/12/90	RENT	25.00	375.00
11/13/90	UTILITIES	10.00	365.00
11/14/90	SALES	175.00	540.00
11/15/90	PAYROLL	50.00	490.00
11/16/90	RENT	25.00	465.00
11/17/90	UTILITIES	10.00	455.00
11/18/90	SALES	185.00	640.00
11/19/90	PAYROLL	50.00	590.00
11/20/90	RENT	25.00	565.00
11/21/90	UTILITIES	10.00	555.00
11/22/90	SALES	195.00	750.00
11/23/90	PAYROLL	50.00	700.00
11/24/90	RENT	25.00	675.00
11/25/90	UTILITIES	10.00	665.00
11/26/90	SALES	205.00	870.00
11/27/90	PAYROLL	50.00	820.00
11/28/90	RENT	25.00	795.00
11/29/90	UTILITIES	10.00	785.00
11/30/90	SALES	215.00	1000.00
12/1/90	PAYROLL	50.00	950.00
12/2/90	RENT	25.00	925.00
12/3/90	UTILITIES	10.00	915.00
12/4/90	SALES	225.00	1140.00
12/5/90	PAYROLL	50.00	1090.00
12/6/90	RENT	25.00	1065.00
12/7/90	UTILITIES	10.00	1055.00
12/8/90	SALES	235.00	1290.00
12/9/90	PAYROLL	50.00	1240.00
12/10/90	RENT	25.00	1215.00
12/11/90	UTILITIES	10.00	1205.00
12/12/90	SALES	245.00	1450.00
12/13/90	PAYROLL	50.00	1400.00
12/14/90	RENT	25.00	1375.00
12/15/90	UTILITIES	10.00	1365.00
12/16/90	SALES	255.00	1620.00
12/17/90	PAYROLL	50.00	1570.00



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Section 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No 09/931,388
Filing Date 08/15/2001
Inventorship Gary J. Sullivan
Applicant Microsoft Corporation
Attorney's Docket No. MS1-751US
Title: Methods, Systems and Data Structures for Timecoding Media Samples

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801



22801

PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Check #1510 in the amount of \$2,808.00
6. Return Post Card

Large Entity Status ☒

Small Entity Status ☐

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 10/24/01

By:

Lance R. Sadler
Reg. No. 38,605

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

EL887747467

Express Mail No. (if applicable)

Date: 10/24/2001

By:

Cheryl K. Boies



CHANGING FEE

EL887747467

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 2808.00

Complete if Known

Application Number	09/93/388
Filing Date	08/15/2001
First Named Inventor	Gary J. Sullivan
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-75/US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

12-0769

Deposit
Account
Name

Lee & Hayes, PLLC

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

Fee Paid

740

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims 67
Independent Claims 16
Multiple Dependent
Extra Claims -20** = 47 X 18 = 846
-3** = 13 X 84 = 1092
Fee from below
Fee Paid 846 + 1092 = 1938

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1938.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(a)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Name (Print/Type)

Lane Sadler

Registration No.
(Attorney/Agent)

Complete (if applicable)

Telephone

(509) 324-9256

Signature

[Signature]

Date

10/24/01

WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.